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AUG 19 2019

EPIQ

B 210A (Form 210A) (12/09)

## UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings Inc,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Christopher John Gardner  
Name of Transferee

Union Bancaire Privée, UBP SA  
Name of Transferor

Name and Address where notices to transferee  
should be sent:  
Trident Trust Company(I.O.M.),12-14  
Finch Road,Douglas,Isle of Mann,IM1 2PT

Court Claim # (if known): 545221.01  
Amount of Claim: \$710,000.00  
Date Claim Filed: 10/29/2009

Phone: +33 621 96 93 32  
Last Four Digits of Acct #: \_\_\_\_\_

Phone: +41.58.819.3428  
Last Four Digits of Acct. #: \_\_\_\_\_

Name and Address where transferee payments  
should be sent (if different from above):  
Christopher John Gardner,BARCGB22X  
(BIC),GB61BUKB20338323009092 (IBA)

Phone: +44 07442506065  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Christopher John Gardner  
Transferee/Transferee's Agent

Date: 13<sup>th</sup> August 2019

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **UNION BANCAIRE PRIVEE, UBP SA** ("Transferor") unconditionally and irrevocably transferred to **Christopher Johan Gardner** all of its right, title, interest claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. **545221.01**) in nominal amount of EUR 710'000.- related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED  
THIS 15<sup>th</sup> August 2019.

**UNION BANCAIRE PRIVEE, UBP SA**



Securities departement  
Director



Securities departement  
Associate

**Schedule I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN / Description</b>	<b>Court Claim</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Claim Unsecured</b>	<b>Allowed Unsecured</b>
ISIN XS0257807288  Lehman Brothers due 22.11.2010	545221.01	26th October 2009	Lehman Brothers Treasury BV	EUR 710'000	N/A

# FedEx

## Express

Comp: LEHMAN BROTHERS Ref: 4605  
Name: EPIQ BANKRUPTCY Country: US  
EIN#: 13-1608473  
ShipDate: 16Aug19 TtlVal: 0.00  
TtlPkg: 1

Sender: RIAD SULTAN  
MAMB#: 4924 4256 7584  
TtlWgt: 0.5  
BilledWgt: 0.5

Svcs: INTL PRIORITY  
TRCK: 4924 4256 7584

ORIGIN ID: GYAR 4122 819 2290  
RIAD SULTAN  
RIAD SULTAN  
96 RUE DU RHONE  
GENEVE 1204  
SWITZERLAND CH

SHIP DATE: 16Aug19  
ACTWGT: 0.50 KG MAN  
CAD: 1716084/GSM0959

BILL SENDER

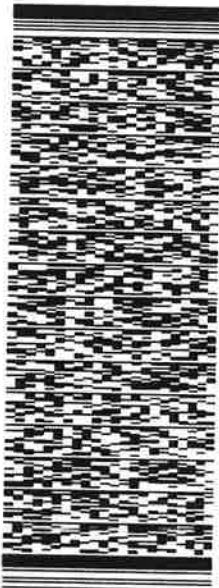
TO EPIQ BANKRUPTCY SOLUTIONS, LLC  
LEHMAN BROTHERS HOLDINGS CLAIMS  
PROCESSING  
757 THIRD AVENUE, 3RD FLOOR  
NEW YORK NY 10017

TRAN:

REF: 4605

(US)

540C2/F551/727F



J161016072602uv

TRK# 4924 4256 7584

10:30A  
INTL PRIORITY

XA OGSA

10017  
NY-US EWR

